

BACKGROUND QUESTIONNAIRE

Please respond to the following questions in the space provided. If you need additional space for any response, you may attach additional pieces of paper.

Today's date: 8/25/15

1. What is your full name? Edward Scott Adams

2. Have you ever been known by any other name? Yes ☒ No

If yes, list each such name and the period(s) in which you were known by that name.

3. Date and Place of Birth? March 12, 1963 Berwyn, IL

4. Country of Citizenship? USA

5. Marital Status? Married ☒ Divorced ☐ Single Divorced (i) ?; (ii) Cheryl Urbanski Adams; (iii) Cheryl Urbanski; (iv) 56; (v) Attorney?

If you have ever been married, state for each marriage: (i) the date(s) of the marriage; (ii) the name of your spouse; (iii) your spouse's birth name, if different; (iv) your spouse's age; and (v) your spouse's occupation.

(i) July 17, 1993; (ii) Denise Adams; (iii) 30/15; (iv) Denise Lineros; (v) 54; (vi) Consultant

6. List the names, ages and occupations of your children, if any.

Edward R. Adams (12)

7. List all residences you occupied at any time during the last five years, including vacation homes, beginning with your current residence. For each residence, state the address, dates of residence, and all telephone numbers (including facsimile numbers) listed at that address.

2010 W. 49th St Mpls MN 55419 1993-present
600 Street Wellfleet MA 02667 2009-present
675 Leo Road Webster, WI 54993 - 2015 present

COMMISSION
EXHIBIT

29

do. 8/27/15

CONFIDENTIAL TREATMENT REQUESTED BY ADAMS

SECBG_ADAMS_00001

EXHIBIT
DX-52

DSM-000551

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8. List all telephone numbers and telecommunication services that were in your name or that you regularly used at any time during the last five years. Include all residential, business, cellular, credit card, and VOIP telephone numbers, including those listed in your response to question 7, and services such as GoogleVoice, Skype, video conference services. For each telephone number, state the name(s) of the corresponding carrier(s) (e.g., AT&T, Verizon, Vonage, Skype, etc.).

612-325-1822 AT&T
612-927-7551 Comcast
612-625-0510

9. List the universal resource locator (URL) for all websites or blogs that you established or for which you had the authority to control content, at any time during the last five years. For each website, state the name(s) of the domain name registrar (e.g. GoDaddy) through which the URL was obtained, the name(s) of all individuals or entities who provided web site hosting or design services, whether the website contained primarily business or personal information, and the time period in which it was active.

N/A

10. List all electronic mail addresses and social networking accounts (e.g. Facebook, LinkedIn, Twitter, Instagram, Flickr, and Google+) that were in your name or that you regularly used at any time during the last five years. Include all personal, business and shared electronic mail addresses and social networking accounts. For each electronic mail address and social networking account, state the name(s) of the corresponding internet service provider(s) (e.g., Google, Yahoo, AOL, or your employer), whether the address was used primarily for business or personal correspondence, and the time period in which it was active.

active	Edward S. Adams@Yahoo.com	(both)
active	Edward S. Adams@MSN.com	(personal)
active	Edward S. Adams Edward S. Adams10@gmail.com	(personal)
active	JAFMAN@Yahoo.com	(both)
inactive	(12/12) EAdams@adamsmonahan.com	(business)
inactive	12/12 EAdams@Focusqi.com	(business)

11. List all usernames for instant messaging and similar electronic communication services (including, but not limited to, Bloomberg, Skype, whatsapp), other than those listed in your response to questions 8 through 10, that were in your name or that you regularly used at any time during the last five years. Include all personal, business and shared addresses. For each username, state the name(s) of the communication service provider (e.g., Google, AOL, etc.), whether the address was used primarily for business or

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personal correspondence, the time period in which it was active, and the name of the software application(s) (e.g., GTalk, ICQ, MSN Messenger) you used to access it.

N/A

12. List all internet message boards or discussion forums (including, but not limited to, Money Maker Group, PNQI Message Board, Investors Hub Daily) of which you were a member or on which you posted any messages at any time during the last five years. For each message board or discussion forum, state the service provider and your member name or identification information.

N/A

PUBLICLY-HELD COMPANIES

13. Are you now, or have you ever been, an officer or director of any publicly-held company? Yes ☒ No

If yes, identify each such company, its CUSIP, and any exchange on which it is or was listed, and state your positions (including membership on any Board or management committees) and the dates you held each position.

5110 - Chairman 2011-2014
Oak Ridge Capital Group (?) - Vice Chairman
Dental Resource, Inc. - Board Member

14. Are you now, or have you ever been, a beneficial owner, directly or indirectly, of five per cent or more of any class of equity securities of any publicly held company? Yes ☒ No

If yes, identify each such company, its CUSIP, and any exchange on which it is or was listed, and state the amount, percentage, and dates of your ownership.

5110 - 10% current
Oak Ridge (?) current
Dental Resource, Inc. Not Known

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15. Are you now, or have you ever been, a board member of any publicly held company?
Yes ☒ No ☐

If yes, identify each company on which you served as a board member, the term(s) of your service, and identify whether you served on any board committees (e.g., audit committee).

See above

PRIVATELY-HELD COMPANIES

16. Are you now, or have you ever been, a beneficial owner, directly or indirectly, of any privately-held company (i.e., corporation, partnership, limited liability company or other corporate form)? Yes ☒ No ☐

If yes, identify each such company, including address and other contact information, and state your positions and the dates you held each position.

1) Linnise Development Corporation;
2) DEB Holdings, LLC
3) ESA Consulting Services, LLC
4) Adam S. Monahan, LLP
5) SAR Partners, LLC
6) Focus Capital Group, Inc.;
7) Oak Ridge Financial Services, Inc.;
8) YL Holdings, LLC
9) Agraculture, LLC

17. Are you now, or have you ever been, a manager or a member of any privately-held company (i.e., corporation, partnership, limited liability company or other corporate form)? Yes ☒ No ☐ See above

If yes, identify each such company, including address and other contact information, and state your positions and the dates you held each position.

See above

BANK ACCOUNTS

If the information you provided on the document bates-labeled SEC-Adams-029438-29443 is current and accurate, please confirm so in your response and disregard questions 18-21

18. List all accounts you have held in your name at any financial institution (i.e., bank, thrift, or credit union) at any time during the last five years. Include all foreign accounts. For each such account, identify: (i) the financial institution; (ii) the address of the branch at which your account is or was held; (iii) the type of account (i.e., checking, savings, money market or IRA); (iv) the account number; and (v) whether

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any person has ever had discretionary authority or power of attorney over the account; if so, name such person(s).

(confirmed)

19. List all accounts at financial institutions (including foreign accounts), other than those listed in your answer to question 18, in which you had any direct or indirect beneficial interest at any time during the last five years. For each such account, provide the information requested by question 18.

(confirmed)

20. List all accounts at financial institutions (including foreign accounts), other than those listed in your answer to question 18 or 19, over which you had any control at any time during the last five years. For each such account, provide the information requested by question 18.

New Trust Accounts for My son after my mother's death in April 2015

21. List any other accounts (including foreign accounts), other than those listed in your answers to questions 18 through 20, that were held in your name, in which you had any direct or indirect beneficial interest, or over which you had any control, that you have used to transfer funds in the last five years, including, but not limited to, PayPal accounts. For each such account, provide the information requested by question 18.

PRIOR PROCEEDINGS

22. Have you ever testified in any proceeding conducted by the staff of the Securities and Exchange Commission, a U.S. or foreign federal or state agency, a U.S. or foreign

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federal or state court, a stock exchange, the Financial Industry Regulatory Authority ("FINRA") or any other self-regulatory organization ("SRO"), or in any arbitration proceeding related to securities transactions? Yes ☒ No

If yes, for each such proceeding, identify: (i) the title of the proceeding; (ii) the organization or agency; and (iii) the date(s) on which you testified.

Don't remember, FINRA proceeding
in 2003 or 04 (maybe)

23. Have you ever been deposed in connection with any court proceeding? Yes ☒ No

If yes, for each such proceeding, identify: (i) the title of the proceeding, and (ii) the date(s) on which you were deposed.

Expert witness in various
proceedings
~~cannot recall~~

24. Have you ever been named as a defendant or respondent in any action or proceeding brought by the SEC, any other U.S. or foreign federal agency, a state securities agency, FINRA, an SRO, or any exchange? Yes ☒ No

If yes, for each such proceeding, identify: (i) the title of the proceeding; (ii) the agency or tribunal; (iii) the substance of the allegations; (iv) the outcome of the proceeding; and (v) the date of the outcome.

25. Have you ever been a defendant in any action (other than those listed in response to question 24) alleging violations of the federal securities laws? Yes ☒ No

If yes, for each such proceeding, identify: (i) the title of the proceeding; (ii) the court or tribunal; (iii) the outcome of the proceeding; and (iv) the date of the outcome.

Don't know if McFarlin, Mark,
and Joseph Smith qualify

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26. Have you ever been a defendant in any criminal proceeding other than one involving a minor traffic offense? Yes ☐ No ☒

If yes, for each such proceeding, identify: (i) the title of the proceeding; (ii) the court or tribunal; (iii) the outcome of the proceeding; and (iv) the date of the outcome.

EDUCATIONAL HISTORY

27. Provide the requested information about each educational institution that you have attended, beginning with the most recent and working backward to the date that you completed high school.

Carlson School of Management
Name of School
City Minneapolis State MN Country USA Zip Code
Graduated 6/97 Degree/Major MBA Month/Year of Degree

University of Chicago Law School
Name of School
City Chicago State IL Country USA Zip Code
Graduated 6/85 Degree/Major JD Month/Year of Degree

Knox College
Name of School
City Galesburg State IL Country USA Zip Code
Graduated 6/85 Degree/Major BA Month/Year of Degree

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Name of School			
City	State	Country	Zip Code
Dates of Attendance: Month/Year to Month/Year		Degree/Major	Month/Year of Degree

28. Other than courses taken in connection with institutions listed in response to question 27, list any securities, accounting or business related courses taken since undergraduate school. For each such course, identify the date that the course was completed and the name of the institution or organization that offered the course.

PROFESSIONAL LICENSES/CLUBS

29. Do you hold, or have you ever held, any professional license? Yes ☒ No

If yes, for each such license, identify: (i) the license number or attorney bar number; (ii) the licensing organization; (iii) the date the license was awarded; (iv) the date such license terminated, if applicable; (v) the date(s) of any disciplinary proceeding(s) against you; and (vi) the outcome of any such disciplinary proceeding (e.g., reprimand, suspension, revocation).

Attorney - IL	1933
MA	2014
MN	1993?

30. Are you, or have you ever been, a member of any professional or business club or organization? Yes ☐ No ☒

If yes, list for each: (i) the name of the club or organization; (ii) its address; (iii) the date(s) of your membership; and (iv) service in any governance roles (e.g., board member, committee member, etc.) including title and dates of service.

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31. Are you, or have you been in the last five years, a member of any social clubs, charities or nonprofit organizations? Yes No

If yes, list for each: (i) the name of the social club, charity or nonprofit organization; (ii) its address; (iii) the date(s) of your membership; and (iv) service in any governance roles (e.g., board member, committee member, etc.) including title and dates of service..

Edina Country Club (2004-? Member)
MT ME Olivet Lutheran Church

EMPLOYMENT HISTORY

32. Are you, or have you ever been, an employee of a broker, dealer, investment adviser, investment company, municipal securities dealer, municipal advisor, transfer agent, or nationally recognized statistical rating organization? Yes No

If yes, list for each: (i) the jurisdiction of the entity; (ii) your CRD number; (iii) the entity's CRD number; (iv) the entity's SEC File number; (v) the entity's CUSIP number; and (vi) any foreign registration information similar to the foregoing.

Fokus Capital Group, Inc
OST Ridge Financial Services, Inc
(previously Equity Securities Investments, Inc)

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33. State your employment activities, beginning with the present and working backward to the date that you completed undergraduate school and attach a recent copy of your resume or curriculum vitae.

University of MN Law School			
Employer's Name/Self-Employment		612-625-1000	
229 19th Ave So		Telephone Number	
Minneapolis MN USA		55455	
City	State	Country	Zip Code
Professor of Law		6/93 - current	
Title	Dates of Employment	Supervisor	
Title	Dates of Employment	Supervisor	
Title	Dates of Employment	Supervisor	
Adams Grimes, LLP			
Employer's Name/Self-Employment		258 E Sixth Street Suite 140	
Employer's Street Address		Telephone Number	
St Paul MN USA		55101	
City	State	Country	Zip Code
Attorney		1/13 - current	
Title	Dates of Employment	Supervisor	
Title	Dates of Employment	Supervisor	
Title	Dates of Employment	Supervisor	
Title	Dates of Employment	Supervisor	

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Albany Law School of Union University
Employer's Name/Self-Employment
30 New Scotland Ave
Employer's Street Address
Albany, NY
City State Country Zip Code
Asst Professor 4/92-6/93 Dean Martin Belsky
Title Dates of Employment Supervisor

Title Dates of Employment Supervisor

Title Dates of Employment Supervisor

Title Dates of Employment Supervisor

Latham & Watkins, LLP
Employer's Name/Self-Employment

Sears Tower
Employer's Street Address
Chicago, IL
City State Country Zip Code

Associate 9/89-6/92
Title Dates of Employment Supervisor

Title Dates of Employment Supervisor

Title Dates of Employment Supervisor

Title Dates of Employment Supervisor

Judge J. Harvie Wilkinson, III
Employer's Name/Self-Employment

255 W. Main Street
Employer's Street Address
Charlottesville, VA
City State Country Zip Code

Law clerk 7/88-8/99 Judge J. Harvie Wilkinson, III
Title Dates of Employment Supervisor

Title Dates of Employment Supervisor

Title Dates of Employment Supervisor

Title Dates of Employment Supervisor

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Employer's Name/Self-Employment			
Employer's Street Address			Telephone Number
City	State	Country	Zip Code
Title	Dates of Employment		Supervisor
Title	Dates of Employment		Supervisor
Title	Dates of Employment		Supervisor
Title	Dates of Employment		Supervisor

CONTINUE ON ADDITIONAL SHEETS IF NECESSARY

Apollo Diamond, Inc.
Various Titles
Resigned Approx 2/ - 3/2011

Apollo Diamond Gemstone Corporation
Various Titles
Resigned Approx 2/ - 3/2011